

LITERATURE REVIEW OF RESEARCH AND DEVELOPMENT OF PROBIOTIC TABLETS

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ABSTRACT

Probiotics are gaining increasing attention due to their significant benefits to the host. Despite their potential advantages, probiotic dosage forms have not been extensively studied, primarily because of their poor survival through the gastrointestinal tract. Probiotic tablets emerge as a promising delivery system utilized in nutritional products to supplement the natural intestinal flora. These tablets possess the capability to deliver live, functional bacteria in sufficiently large quantities, ensuring effectiveness, and providing protection against the harsh conditions of the gastrointestinal and biliary tract environment, thereby ensuring in vivo protection and maintaining viability during preparation. Various adverse effects that impact the effectiveness of probiotic tablets, delving into factors influencing the existence of microorganisms and the development of formulations for probiotic tablets.

Keywords: Probiotic tablet; viability; factor; formulation.

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1. INTRODUCTION

1.1. Probiotic tablet

Probiotic microorganisms provide health benefits to patients when used in adequate amounts and in effective forms [1, 3]. Since their viability is essential, adequate storage stability and special precautions during processing are required to ensure effective dosage forms. Today, most probiotic products on the market are usually liquid or semi-solid, but they have limited cell viability after oral administration. This is mainly due to the difficult conditions in the stomach that make it difficult for bacteria to survive. Much current research is aimed at developing dry dosage forms that can enhance bacterial survival [1, 2, 5]. One expected benefit is that freeze-dried bacterial cells have low water activity so their viability can be maintained. The development of probiotic tablets is essential. Through careful selection of the tablet matrix, it is anticipated that the attached bacteria will be protected from the acidic environment in the stomach, increasing effectiveness [4, 6].

Probiotic tablets are one of the probiotic delivery systems widely used in nutritional products to supplement the natural intestinal flora. These delivery systems vary widely in their effectiveness in delivering health benefits to patients. Probiotic delivery systems can be classified into conventional pharmaceutical formulations and non-conventional products, mainly based on commercial foods. The extent of health benefits provided by these probiotic formulations varies in their ability to provide live, functional bacteria in sufficient (effective) quantities, providing protection against the harsh effects of the gastric and enterobiliary environment (in vivo protection), and to survive the formulation process (viability) [2]. Because tablets are convenient for patient administration, ensure safe dosing, and allow for cost-effective production on a large scale, they are the preferred dosage form for many active pharmaceutical ingredients as well as to use effective probiotic microorganisms. Tablets and capsules are convenient solid dosage forms for delivering probiotics. These formulations allow the application of various functional excipients to improve shelf life, gastrointestinal stability, cell viability and also to control release rate and target location of probiotics [4, 8].

Tablets, a dosage form with a high market share in the global market, offer many advantages such as physicochemical stability, simple manufacturing process, low production costs and high consumer acceptance [9]. Although tablets are not the preferred dosage form for probiotic preparations, the properties of tablets make them an important avenue for probiotic drug development. Due to the adverse effects on the biological activity of probiotics due to the wet compression and granulation method, the general process to form probiotic tablets is to mix the powder with excipients after a drying process and then press pellets form directly [10]. However, processes such as drying, mixing and compacting will inevitably destroy many cellular components and biological activities of probiotics, which is a challenge that needs to be addressed in the design of probiotic tablets [11].

1.2. Ingredients of probiotic tablets.

1.2.1. Probiotic strain

Verify the identity of the probiotic strains used in the formulation. Accurate strain identification is crucial for ensuring that the intended strains are present and match the labeling. Measure the viable count of probiotic microorganisms in colony-forming units (CFUs) per dose. This indicates the concentration of live probiotics in each tablet and is a critical factor in achieving the intended health benefits. Conduct stability testing to assess the viability of probiotic strains throughout the product's shelf life. Assess the influence of temperature, humidity, and other environmental factors on probiotic viability to select the most suitable strain for the design [12].

1.2.2. Excipients

The majority of probiotic products available in the market contain various additives alongside the active probiotic ingredient. These additives, known as excipients, are integral to the performance of the product and are present in nearly all pharmaceutical formulations. The effectiveness of the product relies heavily on the physical and chemical characteristics of these excipients. Excipients serve various functional purposes, such as acting as diluents, lubricants, colorants, binders, coating agents, sweetening agents, anti-caking agents, suppository bases, etc [13]. Commonly employed excipients for probiotic formulations, such as bulk powders, tablets, and capsules, include microcrystalline cellulose (used as a binder/diluent), rice maltodextrin (employed as a binder/diluent), silicon dioxide (utilized as a gliding/ anti-caking agent), magnesium stearate (functioning as a lubricant), hydroxypropyl methyl cellulose (serving as a suspending/viscosity agent), and so on.

The Shu's research (2019) evaluated the effect of different excipient combinations of xylitol, erythritol, mannitol, sodium alginate, and microcrystalline cellulose on the quality of probiotic goat milk tablets on four indicators, namely, viable cell counts, friability, hardness, and sensory evaluation [6]. Tablet probiotic is frequently selected for the administration of viable probiotic microorganisms. Saccharomyces cerevisiae cells, granulated through a fluidized bed process, were tableted using a compaction simulator, employing dicalcium phosphate (DCP), lactose (LAC), and microcrystalline cellulose (MCC) as carrier materials. The compression stress was varied during the process. The tablets underwent analysis concerning physical properties, including porosity and tensile strength, along with an assessment of microbial survival. The survival rate and physical properties of the tablets were significantly influenced by the choice of carrier material and the applied compression stress. These dependencies were attributed to the specific deformation characteristics of the materials and were connected to mechanistic approaches aimed at explaining the observed variations in sensitivities [7, 8]. So, the influence of tableting speed on mechanical tablet properties was intensively studied for different materials.

1.3. Advantages

Tablets have several advantages over other dosage forms such as ease of production and administration, accurate dosage, good acceptance, and can be developed in order to allow delivery in the colon. Probiotics must colonize the distal ileum and colon in order to exert their action. Previous works designed and studied probiotic tablets using lyophilization as a way to obtain concentrated probiotic powders [1, 14].

2. PROBIOTIC TABLET PREPARATION

2.1. Manufacturing Process

Optimize the tablet manufacturing process to ensure uniform tablet weight, content uniformity, and proper compression. Adjust processing parameters as necessary.

2.1.1. Preparation of Blend

Blend the probiotic strains with the selected excipients. This step ensures a homogeneous mixture, distributing the probiotics evenly throughout the tablet. Such as tablets were prepared by direct compression using a single punch tablet press connected to a computerized compression force analyzer, under constant environmental conditions (35% RH, 20–22 °C). An exactly weighed quantity of powder mixture containing LAB powder and HPMCP was filled into a die of 10 mm diameter and under a determined pressure ranging from 2 to 20 kN tablets with a plane surface were formed [4].

2.1.2. Granulation

Granulation may be performed to improve the flowability of the blend and facilitate the compression process. It involves the binding of powder particles into larger granules. In the granulation process, the carrier material is fluidized within the fluidized bed processor, and the cell suspension is sprayed onto these particles [15]. Depending on the process parameters, carrier particles can either be coated with the cells [16, 17], or the particles are granulated with cells [15, 19], and if necessary, additional binders are introduced. For instance, Enterococcus faecium M74 was coated on MCC pellets to enhance flowability compared to lyophilizate, facilitating proper further processing into solid dosages [19]. Typically, the coating procedure requires an extended process time since the spray rate must be low enough to prevent agglomeration. However, the prolonged process time could be a concern as it exposes the cells to potentially harmful temperatures. Hence, granulation is the primary focus of this study. Moreover, granules produced in this manner generally exhibit better tabletability than, for example, coated MCC pellets [20]. The study investigates the influence of various process and formulation parameters, including the type and amount of protective additives in the spray suspension, spray rate, inlet temperature, post-drying time, and cell concentration. The outcomes will be utilized to determine process conditions that enhance the survival of cells during granulation.

2.1.3. Tablet Compression

Use a tablet press to compress the blend into tablets. The compression process should be gentle to avoid damaging the probiotic strains. The tablet size and shape depend on the specific requirements of the formulation. Compression is another process that can easily damage probiotics. Direct compression is regarded as the method of choice for manufacturing tablets with inhaled and moisture-sensitive active ingredients for industrial use [21]. As direct compression inevitably causes damage to bacterial morphology, it is essential to investigate the relationship between compression force and probiotic cell viability. One study showed that as the concentration of hypromellose phthalate increases, tablets made with high tensile and compressive strengths exhibit a slow release rate and greater than 80% bacterial cell viability [22]. Meanwhile, a similar issue was reported that when the cell density of the tablets increases, the particle gap is too small and high levels of mechanical stress may cause cell rupture and thus reduce the survival of probiotic bacteria [23]. The difference between these two results may be attributed to variations in drying processes and excipients. Notably, the species of the strain also affects the sensitivity of directly compressed probiotic tablets, as some strains have cell surface molecules, such as exopolysaccharides, that reduce cell damage during compression [24]. Due to strain specificity and the variability in excipients, the appropriate choice of compression force during the manufacturing of probiotic tablets can substantially improve strain survival.

2.1.4. Enteric Coating

Apply an enteric coating to protect the probiotics from the acidic environment of the stomach, ensuring their release in the intestines. Enteric coatings may use polymers like hydroxypropyl methylcellulose phthalate (HPMCP) or polyvinyl acetate phthalate (PVAP).

2.1.5. Drying

If the tablet formulation involves liquid components, such as probiotic suspensions, a drying step may be necessary to remove excess moisture and enhance stability. During tableting, stresses due to compression, shear and heat occur, showing detrimental effects on microbial survival. The fact that large cells are damaged more strongly suggests mechanical stress (shearing) as the significant factor [25]. Besides dependency on cell size, the degree of damage also seems to be dependent on the compaction stress [26, 27], compression speed [11], spatial distribution and mechanical as well as physical properties of the used excipients, e.g., deformation characteristics [26].

2.2. Influence of compression kinetics during tableting

The viability of microorganisms and the physical characteristics of the tablets, such as porosity and tensile strength, were assessed. Increased compression stresses led to decreased porosities. While this adversely affected microbial survival due to elevated pressure and shear stress during particle rearrangement/densification, it simultaneously resulted in higher tensile strengths. When comparing tablets under the same compression stress, a lengthened dwell time resulted in reduced porosity, leading to lower survival rates but increased tensile strength. Conversely, consolidation time did not exert a significant impact on the tablet quality attributes considered. Given the negligible changes in survival rates relative to tensile strength (due to opposing yet compensatory influences on porosity), high production speeds could be employed for granule tabletting without compromising viability, as long as tablets with equivalent tensile strength are produced [28, 29].

3. Some of the major factors in the formulation development for probiotics

3.1. Biological Factors

Upon oral ingestion, probiotics confront the challenging conditions of the gastrointestinal (GI) tract, specifically in the stomach and upper intestine. The highly acidic gastric fluids with a pH range of 1–3 and a gastric emptying time of approximately 2 hours significantly diminish the viability of probiotics in the stomach. The

acidic gastric pH negatively impacts the cytoplasmic pH and glycolytic enzyme activity of probiotics, affecting the F1Fo-ATPase proton pump crucial for their survival in acidic conditions [30]. Therefore, acid resistance is a pivotal and desirable characteristic of oral probiotics [31, 32]. Factors such as high ionic strength, enzyme activity (pepsin), and gastric motility can further reduce probiotic viability [30].

In the small intestine, bile acids and various digestive enzymes, including lipases, proteases, and amylases, also influence probiotic viability. The increased secretion of bile acids, particularly in response to highfat meals, creates an unfavorable environment for many probiotics, despite aiding in lipid digestion and absorption. Bile acids exhibit antimicrobial properties by acting as biological detergents, disrupting cell membranes and damaging DNA [16]. Consequently, elevated bile acid secretion may decrease probiotic viability in the small intestine. Proteolytic enzymes like trypsin and chymotrypsin can cause lysis of certain bacterial strains and hinder their growth [33].

While oral administration is the preferred route for probiotics, their significant instability in the GI tract necessitates alternative formulations. Consequently, non-GI routes have been actively explored in recent years. However, each route of administration has its own limitations. For instance, vaginal administration's efficacy can be influenced by factors like cervical mucus and variations in the vaginal microenvironment, including vaginal mucosa thickness and properties of the vaginal fluid [34]. Similarly, intranasal delivery faces challenges such as rapid mucociliary clearance, short retention time, small surface area, and enzymatic degradation [35, 36]. Additionally, the colonization of probiotic strains on the respiratory epithelium may be influenced by the presence of the nasal microbial community [37]. Therefore, the formulation strategy for effective probiotic delivery should be tailored to address the specific biological barriers encountered with each route of administration.

3.2. Pharmaceutical Factors

Throughout the manufacturing and storage phases, probiotics can be exposed to diverse stress conditions that impact their stability. Key stressors contributing to the destabilization of probiotics include heat, oxygen levels, mechanical force, osmotic shock, and pH variations. For instance, common processes like spray drying, often used in manufacturing, introduce thermal stress that may denature proteins and cause cell damage in probiotics due to elevated temperatures. The drying process, as in spray drying, can induce osmotic shock by increasing intracellular osmolarity, leading to physiological changes in the outer cellular membrane [26, 38].

Freezing and thawing processes also influence probiotic viability [39]. Mechanical stress from the formation of ice crystals in the media or inside the cells can damage probiotic cell membranes during freezing. Osmotic stress may further diminish probiotic viability during thawing [40]. Under hypo- or hypertonic conditions, osmotic shock adversely affects cell viability, with low osmotic pressure causing an increase in internal cell pressure due to water absorption, resulting in cell lysis [41, 42, 43].

The formulation process subjects probiotic bacteria to mechanical stress. Compression force during tableting, for example, damages the bacterial cell wall and other bioactive components, reducing probiotic survival rates [44, 45]. Shearing force induced by inter-particulate movement also influences probiotic cell survival [46, 47]. Similarly, oxidative stress impacts probiotic survival during manufacturing and storage. While oxygen itself is not harmful, reactive oxygen species generated during its partial reduction to water can damage probiotic proteins, lipids, and DNA [48, 49]. Spray-dried cells may be more susceptible to oxidative stress due to cellular injuries during dehydration [50, 51]. Additionally, the cellular accumulation of toxic oxygen metabolites eventually leads to cell death, referred to as oxygen toxicity [52, 53]. The ability to endure oxidative stresses becomes crucial in selecting probiotic strains. Although genetic manipulation techniques have been employed to enhance microorganism stability under various stresses encountered during manufacturing and storage, safety concerns persist. Consequently, safeguarding probiotics against these stresses should be a primary objective in formulation development [54, 55].

4. CONCLUSION

Probiotic tablets constitute a widely utilized delivery system in nutritional products for supplementing the natural intestinal flora. These tablets have the capability to deliver live, functional bacteria in sufficiently large quantities (ensuring effectiveness), offering protection against the harsh conditions of the gastrointestinal and biliary environment (providing in vivo protection), and maintaining viability through the formulation process. The inherent advantages of tablets position them as a crucial avenue for the development of probiotic drugs. Given the potential adverse effects on the biological activity of probiotics due to the preparation method, careful consideration is essential in the design of probiotic tablet formulations. Probiotic tablets are gaining increased interest and finding applications in both pharmaceutical and food production practices, contributing to product diversification and addressing existing challenges related to the stability of biological products.

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